

Journal Pre-proof

Both SARS-CoV-2 infection and vaccination in pregnancy elicited neutralizing antibodies in pregnant women and newborns

Irene Cassaniti, Elena Percivalle, Paola Zelini, Kimta Ngaradoumbe Nanhorgue, Anna Parolo, Valeria Bernardi, Gianfranco Jorizzo, Peter Santer, Francesca Perotti, Arsenio Spinillo, Daniele Lilleri, Fausto Baldanti

PII: S1198-743X(21)00442-0

DOI: <https://doi.org/10.1016/j.cmi.2021.08.004>

Reference: CMI 2653

To appear in: *Clinical Microbiology and Infection*

Received Date: 29 May 2021

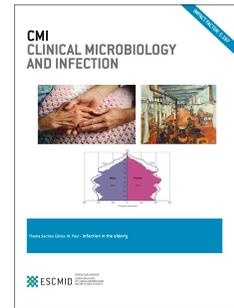
Revised Date: 31 July 2021

Accepted Date: 2 August 2021

Please cite this article as: Cassaniti I, Percivalle E, Zelini P, Nanhorgue KN, Parolo A, Bernardi V, Jorizzo G, Santer P, Perotti F, Spinillo A, Lilleri D, Baldanti F, Both SARS-CoV-2 infection and vaccination in pregnancy elicited neutralizing antibodies in pregnant women and newborns, *Clinical Microbiology and Infection*, <https://doi.org/10.1016/j.cmi.2021.08.004>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2021 European Society of Clinical Microbiology and Infectious Diseases. Published by Elsevier Ltd. All rights reserved.



1 Clinical Microbiology and Infection-Letter

2

3 **Both SARS-CoV-2 infection and vaccination in pregnancy elicited**
4 **neutralizing antibodies in pregnant women and newborns**

5

6 Irene Cassaniti¹, Elena Percivalle¹, Paola Zelini², Kimta Ngaradoumbe Nanhorngue³, Anna Parolo³,
7 Valeria Bernardi⁴, Gianfranco Jorizzo³, Peter Santer⁴, Francesca Perotti², Arsenio Spinillo², Daniele
8 Lilleri^{1*}, Fausto Baldanti^{1,5}

9

10 ¹*Virologia Molecolare, Microbiologia e Virologia, Fondazione IRCCS Policlinico San Matteo,*
11 *Pavia, Italy*

12 ²*Ostetricia e Ginecologia, Fondazione IRCCS Policlinico San Matteo, Pavia, Italy*

13 ³*ASL 6 Euganea, Padova, Italy*

14 ⁴*Azienda Sanitaria dell'Alto Adige, Brunico, Italy*

15 ⁵*Dipartimento di scienze clinico-chirurgiche, diagnostiche e pediatriche, Università degli Studi di*
16 *Pavia, Italy*

17

18 * Corresponding author: Molecular Virology Unit, Microbiology and Virology Department,
19 Fondazione IRCCS Policlinico San Matteo, 27100 Pavia, Italy; email: d.lilleri@smatteo.pv.it

20

21 Text word count: 800

22 To the Editor.

23 To date, few data on SARS-CoV-2 immune response after infection or vaccination have been reported
24 in pregnant women. So far, maternal immunization during pregnancy inducing trans-placental
25 antibody transfer to the newborn is currently supported to reduce morbidity and mortality from
26 infectious diseases after birth.

27 After having signed written informed consent, two healthcare workers received complete
28 BNT162b2 mRNA vaccination during pregnancy. The first vaccinated woman (VW#1) received the
29 first dose at 31 weeks gestation and 4 days, the second woman (VW#2) at 27 weeks gestation and 6
30 days.

31 Serum samples from the mother/newborn pairs collected at delivery were tested by ELISA
32 (Euroimmun, Luebeck, Germany) for anti-SARS-CoV-2 Spike IgG and IgA antibodies. Semi-
33 quantitative results are expressed as optical density (OD) ratio with respect to an internal calibrator:
34 a ratio ≥ 1.1 was considered positive.

35 SARS-CoV-2 Spike-specific IgA antibodies were documented in the two vaccinated women
36 (OD ratio: 7.5 and 2.4); as expected, IgA were absent in the two newborns (N#1 and N#2).
37 Spike-specific IgG were detectable in N#1 and N#2 (8.0 and 5.4) at higher levels than in VM#1 and
38 VM#2 (6.8 and 4.0), with a newborn-to-maternal serum ratio of 1.2 and 1.4, respectively. As control,
39 the newborn-to-maternal serum ratio for anti-cytomegalovirus (CMV) IgG measured by ELISA
40 (Euroimmun) was 1.0 and 1.3. The neutralizing (NT) antibody titer [1] was higher in VW#2 than
41 VW#1 (1:320 vs 1:20). Similarly, NT antibody titer was higher in N#2 than N#1 (1:160 and 1:10).

42 For comparison, seven women (median age 31 years old; range 23-35) who experienced
43 SARS-CoV-2 infection during pregnancy were analyzed: one developed a mildly symptomatic
44 infection during the first trimester, one developed pneumonia during the second trimester, and five
45 women had an asymptomatic infection during the third trimester. The seven women were positive for
46 Spike-specific IgA and IgG antibodies at delivery (with the exception of the women infected during
47 the first trimester who was positive only for Spike-specific IgA), and only 3/7 were also positive for

48 Nucleocapsid IgG by ELISA (Euroimmun). The median newborn-to-maternal serum ratio was 1.4
49 (range 0.5-2.6) for Spike-specific IgG, and 1.0 (0.9-1.4) for CMV-specific IgG, while the median NT
50 titer ratio was 0.5 (range: 0.03-1). Data are reported in Table 1 and Supplementary Table 1.

51 Current data suggest that pregnant women may be at increased risk to be admitted to an
52 intensive care unit with respect to non-pregnant women, thus vaccination might represent a valuable
53 preventive strategy.

54 The efficiency of trans-placental transfer of anti-SARS-CoV-2 antibody has been claimed to
55 be lower than for other pathogens [2]. On the contrary, we observed that antibody transfer occurred
56 efficiently from mothers showing anti-SARS-CoV-2 IgG at delivery (elicited either by infection or
57 vaccination). Our results are in line with another study showing an efficient trans-placental transfer
58 of anti-Spike IgG antibodies [3]. However, median NT titer was two-fold reduced in newborns with
59 respect to mothers. This may be due to the contribution to neutralization in maternal serum of Spike-
60 specific IgA, which are not transmitted to the fetus. It should be taken into account that, when
61 evaluating placental transfer after natural infection, key determinants are time elapsed from infection,
62 severity of the infection and maternal antibody titers. These factors may be at the basis of the
63 conflicting results reported.

64 A recent report highlighted that the immune response elicited by SARS-CoV-2 vaccine in
65 pregnant women was higher than that induced by natural infection [4]. Moreover, while it was
66 suggested that third-trimester SARS-CoV-2 infection induced a poor trans-placental IgG transfer [5],
67 in our study IgG elicited by either infection or vaccination appeared to be efficiently transferred to
68 the fetus. While a sustained neutralizing response was observed in VM#2 and N#2, NT Abs were
69 lower in VM#1 and N#1. These variable results are in the range of those observed in a cohort of
70 immunocompetent vaccinated subjects (unpublished results).

71 On the other hand, a recent study conducted in Israel [6] reported a lower transfer ratio of anti-
72 Spike IgG (median transfer ratio: 0.44) than that observed in our cases. The median time lapse
73 between second dose administration and delivery was 11 days in the Israel cohort, whereas our

74 subjects received the second dose 33 and 42 days before delivery. Therefore, we can hypothesize that
75 vaccination schedule should be completed at least one month before presumed date of delivery for a
76 better antibody transfer.

77 As a major limitation, only two vaccinated pregnant women were analyzed. However, results
78 are in line with those obtained in cohort of healthy immunocompetent subjects. On the other side this
79 is the first report that compares trans-placental SARS-CoV-2 antibody transfer in vaccinated and
80 infected pregnant women. These findings should be extended to a larger cohort and durability of
81 vertically transmitted antibody after maternal vaccination should be investigated. Nevertheless, our
82 preliminary study support the potentiality of maternal immunization in providing immune protection
83 against SARS-CoV-2 in newborns.

84

85 **Transparency declaration**

86 Conflict of interests: the authors have no conflict of interest to declare.

87 Funding: This work was supported by Fondazione Cariplo [grant CoVIM, no. 2020-1374]

88 Contribution: FB, DL conceived the study; IC analyzed the data and drafted the initial manuscript;
89 DL revised the manuscript and wrote final draft; EP, PZ performed serological analyses; KNN, AP,
90 VB, GJ, PS, FP, AS enrolled the subjects and collected clinical data.

91

92 **Ethical statement:**

93 Blood samples were collected according to the Helsinki declaration and after ethical committee
94 approval of Hospital of Padua, AULSS 6 Euganea (P-55422) and Pavia (P-20200046007).

95

96 **References**

- 97 1. Percivalle E, Cambiè G, Cassaniti I, et al. Prevalence of SARS-CoV-2 specific neutralising
98 antibodies in blood donors from the Lodi Red Zone in Lombardy, Italy, as at 06 April 2020.
99 Euro Surveill. 2020; 25:2001031.
- 100 2. Edlow AG, Li JZ, Collier AY et al. Assessment of Maternal and Neonatal SARS-CoV-2 Viral
101 Load, Transplacental Antibody Transfer, and Placental Pathology in Pregnancies During the
102 COVID-19 Pandemic. JAMA Netw Open 2020; 3:e2030455.
- 103 3. Flannery DD, Gouma S, Dhudasia MB et al. Assessment of Maternal and Neonatal Cord
104 Blood SARS-CoV-2 Antibodies and Placental Transfer Ratios. JAMA Pediatr 2021; e210038.
- 105 4. Gray KJ, Bordt EA, Atyeo C et al. COVID-19 vaccine response in pregnant and lactating
106 women: a cohort study. Am J Obstet Gynecol 2021; S0002-9378(21)00187-3.
- 107 5. Atyeo C, Pullen KM, Bordt EA et al. Compromised SARS-CoV-2-specific placental antibody
108 transfer. Cell 2021; 184:628-642.e10.
- 109 6. Rottenstreich A, Zarbiv G, Oiknine-Djian E et al. Efficient maternofetal transplacental
110 transfer of anti- SARS-CoV-2 spike antibodies after antenatal SARS-CoV-2 BNT162b2
111 mRNA vaccination. Clin Infect Dis 2021;ciab266.
- 112
113

114 **Table 1.** Characteristics of two vaccinated pregnant women and seven SARS-CoV-2 seropositive at
 115 delivery convalescent pregnant women

116

	<i>Convalescent pregnant women (median, range)</i>	<i>VW#1</i>	<i>VW#2</i>
Age	31 [23-35]	37	36
Days between infection onset/2 nd dose vaccination and delivery	76 [18-175]	33	42
Maternal immunity			
<i>SARS-CoV-2 Spike IgG</i>	1.9 [0.4-4.7]	4.0	6.8
<i>SARS-CoV-2 NCP IgG</i>	0.7 [0.2-2.9]	0.1	0.1
<i>SARS-CoV-2 Spike IgA</i>	1.8 [1.0-4.3]	2.4	7.5
<i>SARS-CoV-2 NT Abs</i>	1:160 [1:40-1:320]	1:20	1:320
<i>CMV IgG</i>	1.3 [0.7-1.5]	2.3	2.0
Newborn immunity			
<i>SARS-CoV-2 Spike IgG</i>	1.4 [1.2-3.3]	5.4	8.0
<i>SARS-CoV-2 NCP IgG</i>	0.9 [0.3-2.8]	0.1	0.1
<i>SARS-CoV-2 Spike IgA</i>	0.1 [0.1-0.1]	0.1	0.1
<i>SARS-CoV-2 NT Abs</i>	1:40 [<1:10-1:320]	1:10	1:160
<i>CMV IgG</i>	1.3 [1.0-1.5]	2.3	2.6

117 Legend. VW#1: vaccinated woman 1; VM#2: vaccinated woman 2; NCP: nucleocapsid protein; NT

118 Abs: neutralizing antibodies; CMV, cytomegalovirus.

119